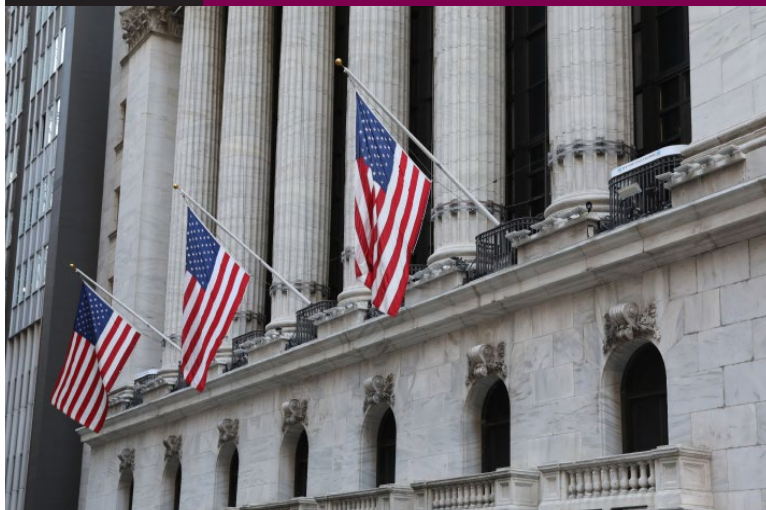


45th Annual NW Securities Institute

Cosponsored by the Oregon State Bar Securities Regulation Section and the Washington State Bar Business Law Section, in cooperation with WSBA CLE
Register at www.osbar.org/seminars (search for NWSI25)



Gain essential updates at this year's NW Securities Institute. Learn about the latest SEC and state regulatory updates. Delve into the evolving ethical issues in Oregon and Washington securities practice. Explore the nuts and bolts of digital investments and environmental, social, and governance considerations for Oregon securities laws.

Hotel Accommodations: Discounted rates are available at The Grand Hotel at Bridgeport, 7265 SW Hazel Fern Rd., Tigard, OR 97224. Call 503-968- 5757 / toll free 1-866-968-5757 and reference the Oregon State Bar rate or [click here](#) to reserve online.

Planning Committee

Jacob Goldberg, OSB Securities Regulation Section Chair Elect, Stoel Rives LLP, Portland, OR

Justin Hebenstreit, OSB Securities Regulation Section Chair, Miller Nash LLP, Portland, OR

Faith Anderson, Washington State Department of Financial Institutions, Olympia, WA

James Kilcup, Stoel Rives LLP, Portland, OR

Jason Powell, Foster Garvey PC, Portland, OR

Perry Salzhauer, Salzhauer & Shortt PC, Seattle, WA

Friday, May 30, 2025

8:20 a.m.–4 p.m. PDT

Oregon State Bar Center

16037 SW Upper Boones Ferry Rd., Tigard
or Via Live Webcast

OR CLE credits: 5 General and 1 Ethics / MCLE ID #: 121454 (pending)

WA CLE credits: 5 Law & Legal and 1 Ethics (pending)

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\$300 Regular registration

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Live Webcast

\$275 ONLD member and WSBA Young Lawyers

\$300 Regular registration

Webcast registration includes online access to the recorded seminar for 60 days after the event. Electronic materials are included with your registration.

WSBA Young Lawyers: Call the OSB CLE Service Center to register.



Closed captions available

OR & WA Law Students, 50-Year and Active Pro Bono OSB members, and Oregon judges and their lawyer staff – please call the OSB CLE Service Center at (503) 431-6413 or (800) 452-8260, ext. 413 to register for the seminar.



Questions or need help with registration?

Call or email the OSB CLE Service Center:
(503) 431-6413 or (800) 452-8260, ext. 413, or
cle@osbar.org

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Schedule

8:00 Registration & Continental Breakfast

8:20 Welcome and Introductions

8:30 State Regulatory Update

Dorothy Bean, Department of Consumer and Business Services Division of Financial Regulation, Salem, OR

William Beatty, Washington Department of Financial Institutions, Olympia, WA

Kortnie Cannon, Idaho Department of Finance, Boise, ID

9:30 Transition Break

9:35 SEC Updates

Moderator: Sallie Kim, Assistant Director for Operations, U.S. Securities and Exchange Commission, San Francisco, CA (remote participation)

Jason Lee, Enforcement Associate Director, U.S. Securities and Exchange Commission, San Francisco, CA (remote participation)

Monique Winkler, Regional Director and Examinations Associate Director, U.S. Securities and Exchange Commission, San Francisco, CA (remote participation)

10:35 Break

10:45 Oregon Securities Laws and ESG (Environmental, Social, and Governance) Considerations

John Casey, Stoel Rives LLP, Portland, OR

Perry Salzhauer, Salzhauer & Shortt PC, Seattle, WA

11:45 Lunch

12:45 Understanding Digital Investments: Key Legal and Practical Considerations

Brooke Pollack, Hutt Capital, Portland, OR

David Rothschild, Cole-Frieman & Mallon LLP, San Francisco, CA

1:45 Transition Break

1:50 Fireside Chat

Commissioner Hester Peirce, U.S. Securities and Exchange Commission, Washington, D.C.

2:50 Break

3:00 Evolving Ethical Issues in Oregon and Washington Securities Practice

Amber Bevacqua-Lynott, Buchalter, Portland, OR

Daniel Keppler, Foster Garvey PC, Portland, OR

4:00 Adjourn

General Information

Cancellations: Cancellation requests must be received at least 72 hours prior to the date of the seminar to qualify for a refund. Refunds are subject to a \$25 cancellation fee. To cancel, please call the OSB CLE Service Center at (503) 431-6413 or (800) 452-8260, ext. 413.

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