Friday, May 14, 2021

LIVE WEBCAST

8:30 a.m.–3:50 p.m.
Pacific Daylight Time (PDT)

OR CLE credits: 5 General and 1 Ethics (pending)
OR MCLE ID #: 78502
WA CLE credits: (pending)
British Columbia CPD credits: (pending)
Idaho CLE credits: (pending)
Alaska CLE credits: eligible for Alaska CLE credit

$110  ONLD member
$160  Regular registration

Includes online access to recorded seminar for 60 days after the event. Electronic materials are included with your registration.

Questions or need help with registration?
Call or email the OSB CLE Service Center:
(503) 431-6413 or (800) 452-8260, ext. 413
or cle@osbar.org

50-Year and Active Pro Bono OSB members and Oregon judges and their lawyer staff – please call the OSB CLE Service Center at (503) 431-6413 or (800) 452-8260, ext. 413 to register for the live seminar.

Program Schedule

8:30  State and Provinicial Regulatory Updates
Faith Anderson, Washington Department of Financial Institutions, Olympia
Dorothy Bean, Oregon Division of Financial Regulation, Salem
Patricia Highley, Idaho Department of Finance, Boise
John Hinze, British Columbia Securities Commission, Vancouver

9:30  Transition

9:35  SEC Enforcement and Corporation Finance Updates
Moderator: Carlos Vasquez, Supervisory Counsel for Outreach and Intake, Securities and Exchange Commission, San Francisco, CA
Tamara Brightwell, Deputy Director, Disclosure Review Program, Securities and Exchange Commission, Washington, DC
Erin Schneider, Regional Director, Enforcement, Securities and Exchange Commission, San Francisco, CA

11:05 Break
Upcoming Seminars

11:20 Feeling Insecure? Understanding Legal Ethics Issues for Securities
   David Elkanich, Buckhalter Ater Wynne, Portland, OR
   Matthew Kalmanson, Hart Wagner LLP, Portland, OR

12:20 Lunch

1:00 Climate Change: Addressing a Material Risk
   Moderator: Jason Ambers, Oregon Department of Consumer and Business Services, Salem
   Veena Ramani, Senior Program Director, Capital Market Systems, Ceres Boston, MA
   Aeron Teverbaugh, Oregon Department of Consumer and Business Services, Salem

1:45 Transition

1:50 Considerations for Cross-Border Securities Issuances
   Kristopher Miks, Norton Rose Fulbright, Vancouver, BC
   Jeffrey Woodcox, Tonkon Torp LLP, Portland, OR

2:35 Break

2:50 State of the M&A Markets: A US and Canadian Perspective
   David Herman, Managing Partner, Diamond Capital Partners, Los Angeles, CA
   Ken Tarry, Managing Partner, Sequeira Partners, Vancouver, BC

3:50 Adjourn