

39th Annual Northwest Securities Institute

REGISTER NOW

www.osbar.org/seminars (search for NWSI19)

New Adventures in Securities Law

Friday, May 3, 2019 | 8:30 a.m.–4:30 p.m.

Hotel Monaco

506 SW Washington St., Portland, Oregon

OR CLE credits: 5.75 general and 1 ethics

WA CLE credits: 5.25 law & legal and 1 ethics

*Cosponsored by the Oregon State Bar Securities Regulation Section
and the Washington State Bar Business Law Section, in cooperation with WSBA CLE*

Gain essential updates and perspectives on new frontiers at this year's NW Securities Institute. The SEC will share the latest from Enforcement and Corporation Finance, while a moderated panel of state and provincial regulators will provide regional updates. Learn about attorney liability during the ethics session and explore the cryptocurrency world, from the state of the market to the intersection between securities and cryptocurrency. Examine securities aspects of the Oregon and Washington cannabis industries and travel beyond state borders to the securities landscape of Canada, which legalized marijuana in 2018. A special lunch presentation will share how two local attorneys helped spur community investment by community members through Mercy Corps Northwest's Community Investment Trust.

Register by 4/22/19 and save \$30

50-Year and Active Pro Bono OSB members and Oregon judges and their lawyer staff – please call the OSB CLE Service Center at (503) 431-6413 or (800) 452-8260, ext. 413 to register for the live seminar.

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LIVE EVENT



Early registration discount
—Register by 4/22/19 and save \$30

\$280 ONLD registration

\$310 Regular registration

*Lunch is included with your registration.
Please make your lunch selection when
registering.*

\$25 Print course materials
(electronic materials are included with
your registration)



**Questions or need help
with registration?**

Call the OSB CLE Service Center at
(503) 431-6413 or (800) 452-8260,
ext. 413, for assistance.

ACCOMMODATIONS

Special rates starting at \$219 plus
tax are available at the Hotel Monaco
through Tuesday, April 9, 2019.
Reserve online or call 1-800-711-2971
and reference the Oregon State Bar
NW Securities Institute.

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- 7:30 Registration and Continental Breakfast**
- 8:30 SEC Enforcement and Corporation Finance Updates**
Moderator: Judith Anderson, *Assistant Regional Director, Securities and Exchange Commission, San Francisco, CA*
Tamara Brightwell, *Deputy Chief Counsel, Division of Corporation Finance, Securities and Exchange Commission, Washington, D.C.*
Erin Schneider, *Associate Regional Director, Enforcement, Securities and Exchange Commission, San Francisco, CA*
- 10:00 Break**
- 10:15 State and Provincial Regulatory Updates**
Moderator: Daniel Keppler, *Garvey Schubert Barer, Portland, OR*
Dorothy Bean, *Chief of Enforcement, Oregon Division of Financial Regulation, Salem, OR*
William Beatty, *Washington Department of Financial Institutions, Olympia, WA*
Alan Conilogue, *Deputy Attorney General, State of Idaho, Boise, ID*
Leif Haugen, *Chief of Enforcement, Alaska Division of Banking and Securities, Anchorage, AK*
- 11:45 Lunch (included with registration)**
Mercy Corps' Community Investment Trust (CIT): Facilitating Community Investment by Offering Shares in the Community to the Community
Michael Schrader, *Orrick Herrington & Sutcliffe LLP, Portland, OR*
Steven White, *Orrick Herrington & Sutcliffe LLP, Portland, OR*
- 1:00 Insecurity: The Interplay of Ethics and Liability for Securities Lawyers**
David Elkanich, *Holland & Knight, Portland, OR*
Daniel Keppler, *Garvey Schubert Barer, Portland, OR*
- 2:00 Cryptocurrency: ICOs, Enforcement, and Beyond**
Moderator: Joseph Skocilich, *Foundry Law Group PLLC, Seattle, WA*
Steven Buchholz, *Assistant Regional Director, Enforcement, Cyber and Market Abuse Units, Securities and Exchange Commission, San Francisco, CA*
Byron Dailey, *Lane Powell PC, Seattle, WA*
John Kim, *Norton Rose Fulbright Canada LLP, Vancouver, BC*
Marco Materazzi, *Emerge Law Group, Portland, OR*
- 3:15 Break**
- 3:30 The Cannabis Landscape at Home and Abroad**
Andrea Brewer, *Norton Rose Fulbright Canada LLP, Toronto, ON*
Linda Fuerst, *Norton Rose Fulbright Canada LLP, Toronto, ON*
Stephanie Gambino, *Dorsey & Whitney LLP, Seattle, WA*
Dave Kopilak, *Emerge Law Group, Portland, OR*
- 4:30 Adjourn**

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Planning Committee

Judith Anderson, *Securities and Exchange Commission, San Francisco, CA*

Daniel Keppler, *Garvey Schubert Barer, Portland, OR*

Joseph Skocilich, *Foundry Law Group PLLC, Seattle, WA*

Daniel Steiner, *Norton Rose Fulbright Canada LLP, Vancouver, BC*

General Information

Cancellations: Cancellation requests must be received at least 72 hours prior to the date of the seminar to qualify for a refund. Refunds are subject to a \$25 cancellation fee. To cancel, please call the OSB CLE Service Center at (503) 431-6413 or (800) 452-8260, ext. 413.

Tuition Assistance: Email a request stating your financial situation to cle@osbar.org or call the OSB CLE Service Center at (503) 431-6413 or (800) 452-8260, ext. 413, no later than one week before the seminar.

Dietary Restrictions or Accessibility Accommodations: Please call (503) 431-6326 or (800) 452-8260, ext. 326, at least 72 hours before the date of the seminar.



Upcoming Seminars

April 5-6
32nd Annual NW Bankruptcy Institute (NWB19)

April 12
More Effective Writing Makes More Effective Lawyers (WR19)

April 17
Lunch and Learn: Preventive Law 101: Minimizing Business and Legal Exposure (PL19)

April 19
Current Advanced Topics in Real Estate and Land Use (RE19)

Register now at www.osbar.org/seminars
(search for the program code)

Save the Date for Upcoming Institutes:

June 6-7
19th Annual Oregon Tax Institute



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